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PTO/SB/21 (08-00)

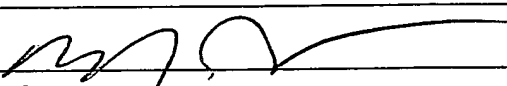
Approved for use through 10/31/2002. OMB 0651-0031

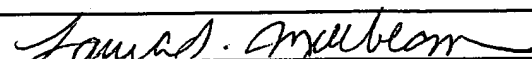
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/421,718	
	Filing Date	10/20/1999	
	First Named Inventor	Christie	
	Group Art Unit	2732	
	Examiner Name	Steven Nguyen	
Total Number of Pages in This Submission	2	Attorney Docket Number	1128c

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 15 US patent references and 7 non-atent literature documents
Remarks		It is believed that no fees are due in this matter. However, if it is determined that fees are due, the Commissioner is authorized to debit Deposit Account No. 21-0765 for the required fees.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Michael J. Setter, Reg. 37,936
Signature	
Date	9-25-02

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <u>9-25-02</u>			
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Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	09/421,718
		Filing Date	10/20/1999
		First Named Inventor	Christie
		Group Art Unit	2732
		Examiner Name	Steven Nguyen
(use as many sheets as necessary)		Attorney Docket Number	1128C
Sheet	1	of	2

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	1	US-5,115,427	May 19, 1992	Johnson, Jr. et al.	
	2	US- 5,182,550	Jan. 26, 1993	Masuda et al.	
	3	US- 5,289,536	Feb. 22, 1994	Hokari	
	4	US- 5,469,501	Nov. 21, 1995	Otsuka	
	5	US- 5,513,180	Apr. 30, 1996	Miyake et al.	
	6	US- 5,526,359	Jun. 11, 1996	Read et al.	
	7	US- 5,583,849	Dec. 10, 1996	Ziemann et al.	
	8	US- 5,765,108	Jun. 9, 1998	Martin et al.	
	9	US- 5,459,721	Oct. 17, 1995	Yoshida	
	10	US- 5,541,917	Jul. 30, 1996	Farris	
	11	US- 5,784,371	Jul. 21, 1998	Iwai	
	12	US- 6,098,094	Aug. 1, 2000	Barnhouse et al.	
	13	US- 6,097,801	Aug. 1, 2000	Williams et al.	
	14	US- 6,298,043	Oct. 2, 2001	Mauger et al.	
	15	US- 6,324,179	Nov. 27, 2001	Doshi et al.	
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

2

of

2

Complete if Known

Application Number	09/421,718
Filing Date	10/20/1999
First Named Inventor	Christie
Group Art Unit	2732
Examiner Name	Steven Nguyen
Attorney Docket Number	1128c

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	16	HELEN A. BAUER, JOHN J. KULZER, EDWARD G. SABLE, "Designing Service-Independent Capabilities for Intelligent Networks," IEEE, December 1988, pgs. 31-41	
	17	ITU-T Q.1219, "Intelligent Network User's Guide For Capability Set 1," April, 1994	
	18	THORNER, "Intelligent Networks, Capter 2," 1994, Artech House, pgs. 11-107	
	19	ITU-T, Recommendation Q.722, "Specifications of Signalling System No. 7, General Function of Telephone Messages and Signals," 1993	
	20	Hoffpauir et al., "Application Provider and Method for Communication," Reg. Number: H1,895; Published: Oct. 3, 2000	
	21	Hoffpauir et al., "Network Management System Server and Method for Operation," Reg. Number: H1,896; Published: Oct. 3, 2000	
	22	Fletcher et al., "Merged Operations and Maintenance Center and Method for Operation," Reg. Number: H1,897; Published: Oct. 3, 2000	

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In Re: Patent Application of: Christie

Serial No.: 09/421,718

Date filed: 10/20/1999

Title: System and Method for Interfacing a Local Communication

Device

☐ Recordation Form Cover Sheet _____ page(s) ☐ Assignment _____ page(s)

Drawings (_____ sheets) Number of FIGS: _____ ☐ Application Data Sheet _____ page(s)

☐ Declaration and POA _____ page(s)

☒ Transmittal 1 page(s)

☐ Fee Transmittal _____ page(s)

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Atty Docket No. 1128e

Date Mailed: 9-25-02

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Date docketed: 10/15/02

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Faegre & Benson LLP

OCT 08 2002

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Serial No.: 09/421,718

Date filed: 10/20/1999

Title: System and Method for Interfacing a Local Communication Device

☐ Recordation Form Cover Sheet _____ page(s) ☐ Assignment _____ page(s)

Drawings (_____ sheets) Number of FIGS: _____

☐ Declaration and POA _____ page(s)

☐ Application Data Sheet _____ page(s)

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